

01-02-02

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12/27/01
JC962 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

**Sean-Paul Woyciehowsky and
Christopher J. Clark**

SERIAL NUMBER: To be determined
EXPRESS MAIL NO: EF2364295821US
FILED: To be determined

FOR: **CLOCK AND DATA RECOVERY UNIT**

PATENT

ART UNIT NO.: To be determined

EXAMINER: To be determined

ATTORNEY DOCKET NO.:
MULT1807

J1050 U.S. PTO
10/033702
12/27/01

San Jose, California
December 19, 2001

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on December ____, 2001 in an Express Mail envelope, "Post Office to Addressee," Mailing Label Number EF236429582US, addressed to Box PATENT APPLICATION, Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231

Elia Salinas

Typed or printed name of person mailing paper or fee

Signature of person mailing paper

NEW APPLICATION TRANSMITTAL

Box PATENT APPLICATION
Hon. Commissioner for Patents and Trademarks
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventors: **Sean-Paul Woyciehowsky and Christopher J. Clark**

For: **CLOCK AND DATA RECOVERY UNIT**

1. Type of Application

This new application is for a(n) (check one applicable item below):

XX Original
____ Design
____ Plant

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- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part (CIP)

2. **Benefit of Prior U.S. Application(s)**

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. **Papers Enclosed**

A. Required for filing date under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design), this Application includes:

- 11 Pages of specification
- 6 Pages of Claims
- 2 Sheets of drawing
- ☐ formal
- ☒ informal

B. Other Papers Enclosed

- 1 One page of Abstract
- 2 Post Card

4. **Additional papers enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 CFR 1.98)
- ☐ Form PTO-1449
- ☐ Citations
- ☐ Declaration of Biological Deposit

100301-2201

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- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. **Declaration or oath**

- ☐ Enclosed
executed by (check all applicable boxes)
- ☐ Inventor(s).
 - ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
 - ☐ joint inventor or person showing a proprietary interest on behalf of Inventor who refused to sign or cannot be reached.
 - ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See *item 13 below for fee.*
- ☒ Not enclosed.
- ☒ Application is made by a person authorized under 37 CFR 1.41(c) on behalf on *all* the above named inventors
 - ☐ Showing that the filing is authorized 37 CFR 1.41(d)

6. **Language**

- ☒ English
- ☐ non-English
- ☐ the attached translation is a verified translation, 37 CFR 1.52(d)

7. **Assignment**

- ☐ An assignment of the invention
- ☐ is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or FORM PTO 1595 is also attached.
- ☒ will follow.

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8. **Fee Calculation (37 CFR 1.16)**

A. X Regular Application

CLAIMS AS FILED			
	No. of Claims	Rate Per Claim	Fee
Per 37 CFR 1.16(a) Basic Filing Fee			\$710.00
Total Claims per 37 CFR 1.16(c) in excess of twenty (20)	0	\$18.00	\$000.00
Independent Claims per 37 CFR 1.16(b) in excess of three (3)	0	\$80.00	\$000.00
Multiple Dependent Claims per 37 CFR 1.16(d), if any	0	\$270.00	\$000.00
Total Filing Fee		\$710.00	

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment deleting multiple-dependencies enclosed.
☐ Fee for extra claims is not being paid at this time.

B. ☐ Design Application
(\$290.00-37 CFR 1.16(f))
Filing Fee Calculation \$ _____

C. ☐ Plant Application
(\$460.00-37 CFR 1.16(g))
Filing Fee Calculation \$ _____

9. **Small Entity Statement(s)**

- ☐ This is a filing by a small entity under 37 CFR1.9 and 1.27.
- ☐ A copy of the statement in the prior application is included.

Filing Fee Calculation (50% of A, B or C above) \$ n/a

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10. **Request for International-Type Search (37 C.F.R. 1.104(d))**

☐ Please prepare an international-type search report for this application at the time when the national examination on the merits takes place.

11. **Fee Payment Being Made At This Time**

☒ Not Enclosed

☐ No filing fee is to be paid at this time.

(This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

☐ Enclosed in the form of a check

☐ basic filing fee \$.00

☐ recording assignment (\$40.00; 37 CFR 1.21(h)) \$.00

☐ petition fee for filing by other than all inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h)) \$ _____

☐ for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$ _____

☐ processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l)) \$ _____

☐ fee for international-type search report (\$40.00; 37 CFR 1.21(e)) \$ _____

Total Fees Enclosed \$00.00

12. **Method of Payment of Fees**

Check in the amount of \$ _____, Check No.: _____.

13. **Instructions as to Overpayment**

☒ Refund overpayment via first class mail to the undersigned.

100370-2046001

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14. **Incorporation by reference of added pages**

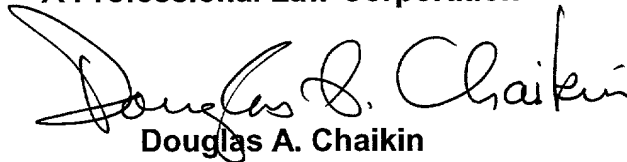
- ☐ Plus Added Pages for New Application Transmittal Where Benefit of Prior
U.S. Application(s) Claimed Number of pages added
- ☐ Plus Added Pages for Papers Referred to in Item 4 above
Number of pages added
- ☐ Plus "Assignment Cover Letter Accompany New Application"
Number of pages added

15. **Statement Where No Further Pages Added**

- ☒ This transmittal ends with this page.

Respectfully submitted,

PENINSULA IP GROUP
A Professional Law Corporation



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